Monthly Steering Committee Meetings

January 26 2022 3-4PM ET

Pathology Innovation Collaborative Community

CLIA Waiver

Create and review draft to be shared with CLIA state surveyors





Target audience... and why

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop C2-21-16 Baltimore, Maryland 21244-1850



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: QSO-20-21-CLIA

DATE: March 26, 2020

- TO: State Survey Agency Directors
- FROM: Director Quality, Safety & Oversight Group
- SUBJECT: Clinical Laboratory Improvement Amendments (CLIA) Laboratory Guidance During COVID-19 Public Health Emergency

Memorandum Summary

- CMS is issuing this memorandum to laboratory surveyors to provide important guidance to surveyors and laboratories during the COVID-19 public health emergency, such as:
 - CMS' Exercise of enforcement discretion to ensure pathologists may review pathology slides remotely if certain defined conditions are met.
 - Ensuring that laboratories located in the United States wishing to perform COVID-19 testing that apply for CLIA certification are able to begin testing as quickly as possible during the public health emergency,
 - Highlighting that laboratories within a hospital/University Hospital Campus may hold a

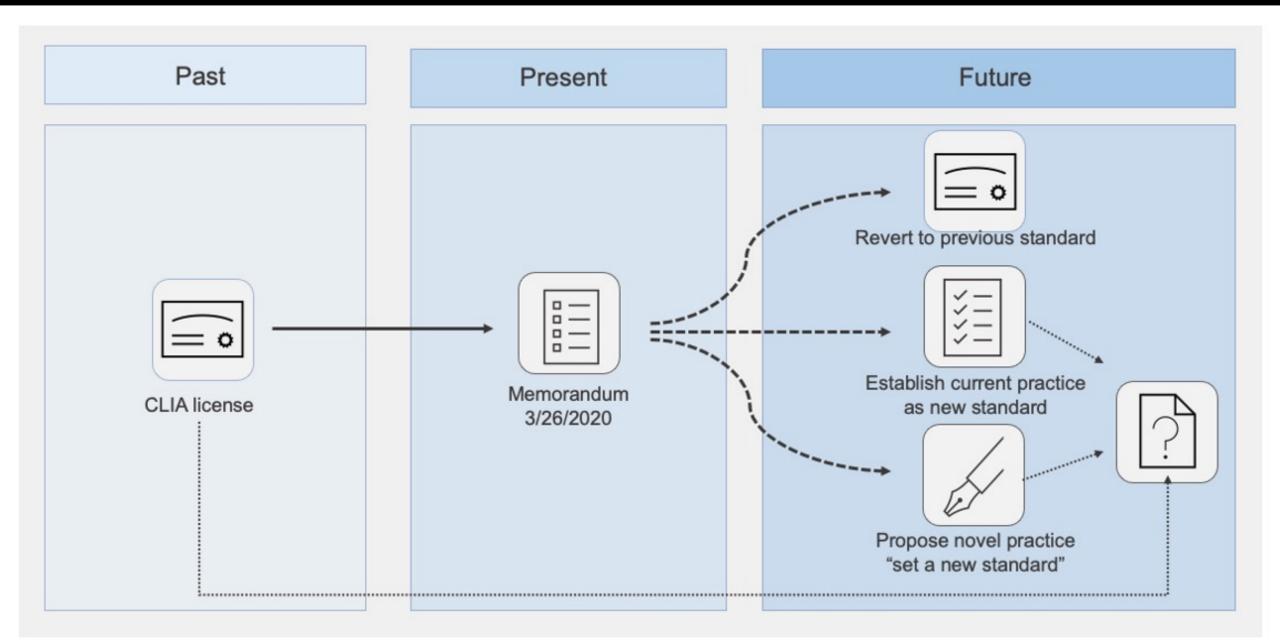
We want to clarify that laboratories performing LDTs as set forth in the FDA guidance are required to be CLIA-certified and meet the requirements to perform high complexity testing.

Contact: Questions about this document should be addressed to LabExcellence@cms.hhs.gov.

Effective Date: Immediately. This policy should be communicated with all survey and certification staff, their managers and the State/Regional Office training coordinators within 30 days of this memorandum.

/s/ David R. Wright

cc: CLIA Branch Managers CLIA Location Staff



Scope	CMS Memorandum		Pathology and Laboratory Medicine		
	"Sections"		thology Diagnostics	Clinical Pathology	
	Subspecialties	Cytopathology	Subspecialties e.g., "breast"	remote result release	
Function	signing out cases remotely	reviewing cases remotely	using digital pathology	using digital pathology remotely	
	 "key out results obtained at CLIA lab" 	 "taking slides home, reviewing using a microscope in home office" 	 "using WSI in a CLIA laboratory" 	 "having a WSI process in CLIA laboratory. Primary review at an off-site location" 	
	<vpn example=""></vpn>	<home screening=""></home>	<primary review=""></primary>	<primary remote<br="">review></primary>	

Laboratories that choose to utilize temporary testing sites (e.g., for remote review and reporting of slides/images), may do so if the following criteria are met:

 493.3(a) Basic rule. Except as specified in paragraph (b) of this section, a laboratory will be cited as out of compliance with section 353 of the Public Health Service Act unless it—

(1) Has a current, unrevoked or unsuspended certificate of waiver, registration certificate, certificate of compliance, certificate for PPM procedures, or certificate of accreditation issued by HHS applicable to the category of examinations or procedures performed by the laboratory; or

- Per the regulations at 42 CFR §493.1105(a)(7) Slides. Cytology slide preparations must be retained for at least 5 years from the date of examination; Histopathology slides must be retained for at least 10 years from the date of examination; Pathology specimen blocks must be retained for at least 2 years from the date of examination; and Remnants of tissue for pathology examiniaiton must be preserved until a diagnosis is made on the specimen.
- Equipment, supplies, and reagents, and other similar items needed at the temporary site are not kept at a temporary testing site on a permanent basis.
- The temporary site complies with other applicable Federal law, including HIPAA.
- As per §493.1251 The primary site must have a written procedure manual for all tests, assays, and examinations performed by the laboratory must be available to, and followed by, laboratory personnel. Textbooks may supplement but not replace the laboratory's written procedures for testing or examining specimens. The Laboratory Director is not required to send but CMS may ask to inspect it in the future.

Proposal

Additional regulatory input needed

Contact CLIA State Survey Agency Contacts

- Propose to review the "project"
- Obtain their input
- Consider a proposal

FDA Transition Plan

Submit comments to draft guidance(s) by 3/23/22

Contains Nonbinding Recommendations

Draft - Not for Implementation

Transition Plan for Medical Devices Issued Emergency Use Authorizations (EUAs) During the Coronavirus Disease 2019 (COVID-19) Public Health Emergency

Draft Guidance for Industry and Food and Drug Administration Staff

DRAFT GUIDANCE

This draft guidance document is being distributed for comment purposes only.

Document issued December 2021.

You should submit comments and suggestions regarding this draft document within 90 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to https://www.regulations.gov. Submit written comments to the Dockets Management Staff, Food and Drug Administration, 5630 Fishers Lane, Room 1061, (HFA-305), Rockwille, MD 20852. Identify all comments with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions about this document, contact the Regulation, Policy, and Guidance Staff at <u>RPG@fda.hhs.gov</u>. For general questions about emergency use authorizations, contact the Office of the Commissioner/Office of the Chief Scientist/Office of Counterterrorism and Emerging Threats at <u>AskNCMfi@fda.hhs.gov</u>.



U.S. Department of Health and Human Services Food and Drug Administration Center for Devices and Radiological Health **Contains Nonbinding Recommendations**

Draft – Not for Implementation

Transition Plan for Medical Devices That Fall Within Enforcement Policies Issued During the Coronavirus Disease 2019 (COVID-19) Public Health Emergency

Draft Guidance for Industry and Food and Drug Administration Staff

DRAFT GUIDANCE

This draft guidance document is being distributed for comment purposes only.

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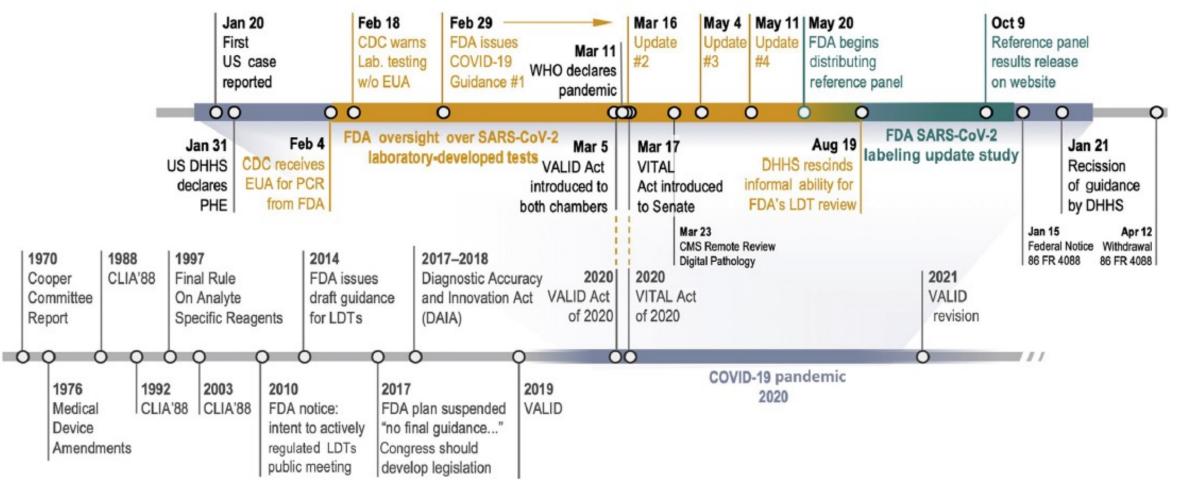
For questions about this document, contact the Regulation, Policy, and Guidance Staff at RPG@fda.hhs.gov.



U.S. Department of Health and Human Services Food and Drug Administration Center for Devices and Radiological Health



Context



Marble et al., 2021 (J Mol Diagn.)

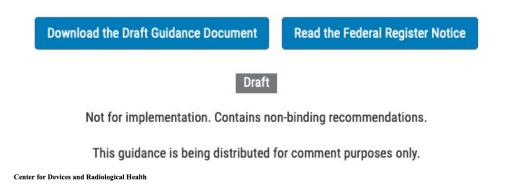


GUIDANCE DOCUMENT

Transition Plan for Medical Devices Issued Emergency Use Authorizations (EUAs) During the Coronavirus Disease 2019 (COVID-19) Public Health Emergency

Draft Guidance for Industry and Food and Drug Administration Staff

DECEMBER 2021





Featured papers

- Bauer et al. Making a science out of preanalytics: an analytical method to determine optimal tissue fixation in realtime
- Morjaria et al. Strategic thinking in test selection for mass SARS-CoV-2 testing
- Lee et al. Disruptive and sustaining innovation in telemedicine: a strategic roadmap
- Bulten et al. Artificial intelligence for diagnosis and Gleason grading of prostate cancer; the PANDA challenge

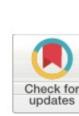


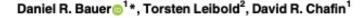
Bauer et al. Making a science out of preanalytics: an analytical method to determine optimal tissue fixation in real-time

PLOS ONE

RESEARCH ARTICLE

Making a science out of preanalytics: An analytical method to determine optimal tissue fixation in real-time





1 Roche Tissue Diagnostics (Ventana Medical Systems, Inc.), Tucson, Arizona, 2 Raytheon Missiles & Defense, Tucson, Arizona, United States of America

* daniel.bauer.db2@roche.com

Abstract

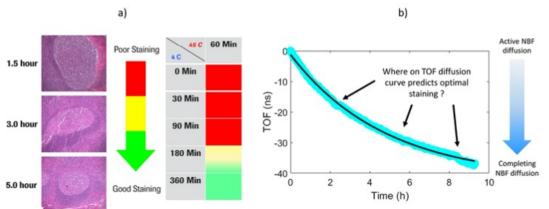


Fig 1. Correlating NBF diffusion times with stain quality. a) H&E images acquired with different cold soak times in NBF. Based on H&E based morphology, cold soak times of 1.5 hours, 3 hours, and 5 hours produced severely compromised, borderline, and exemplary staining, respectively. b) Example depiction of TOF diffusion curve with active NBF diffusion immediately after submerging tissue in NBF, manifesting with a rapidly changing TOF signal. Conversely, after several hours the tissue's rate of diffusion had significantly slowed as the tissue and NBF approached osmotic equilibrium.

https://doi.org/10.1371/journal.pone.0258495.g001

Morjaria et al. Strategic thinking in test selection for mass SARS-CoV-2 testing

OPINION

Strategic Thinking in Test Selection for Mass SARS-CoV-2 Testing

Sejal Morjaria,^a Rosa Nouvini,^b and S. Joseph Sirintrapun^{c,*}

INTRODUCTION

help curb outbreaks. This is especially important for vulnerable communities that contain individu-

	Desired Feat	ures of Testing	Strategy	Testing Regimen	Risk Assessment	Logistics and Policy
"Cold spot" Community						
Seenardo 1: Asymptomatic individual screening and dairy sponsel interactions between non-vuherable individuals	Easy Accessibility	Fast TAT	NPV is a priority	POC testing (i.e. PATs)	Though not perfect, NPV is better and possibly acceptable, given the lower community prevalance. Likewise, the penalty of FN is lower, given the scenario is screening and non- vulnerable individuals. Consider that PPV is lower.	Restrictions and isolation for "spreader" individuals testing positive, particula those interacting with vulnerable subpopulation Follow-up of positive tests with confirmatory PCR.
Scenario 2: Individuals with continual interactions with vulnerable subpopulations	EasyAccessibility	Fast TAT	PPV and NPV is a priority	PCR	The high penalty for FP and FN results are best addressed by performing PCR testing regimens.	Increase PCR testing supplies and improve logistics for deployment to enhance accessibility and TAT.
"Cold spot" Community with limited testing capacity						
Scenario 3: Individuals developing a new onset of predictive COVID-19 associated symptoms	EasyAccessibility	Fast TAT	PPV and NPV is a priority	Start with POC testing (i.e. PATs). Develop serial POC testing regimens to strengthen trust in the POC results. Consider judicious use of follow- up PCR testing (when available).	NPV more trustworthy, particularly when a developed serial POC testing regimen is negative. PPV is lower, though developing a serial POC testing regimen may enhance the sensitivity.	Weighing the penalty of a FN, consider confirmatory PCR (if available) where the penalty is high. Or withou PCR, blanket restrictions for all with symptoms where penalty is high.
"Hot spot" high RO, high COVID-19 prevalence community with <u>limited</u> testing capacity.						
Senario 4: Individuals developing a new onset cough	EasyAccessibility	Fast TAT	PPV and NPV is a priority	Start with POC testing (i.e. PATs). Develop serial POC testing regimens to strengthen trust in the POC results. Consider judicious use of follow- up PCR testing (when available).	PPV more trustworthy, negatives are more suspect.	Weighing the penalty of a FN, consider confirmatory PCR (if available) where the penalty is high. Cr withou PCR, blanket restrictions for all with symptoms where penalty is high. Th latter may not be practice given that such communities in reality, unlerable subpopulation therefore, afforts should be made to increase access to more accurate confirmatory tests like PCR.
What he testing regimen delivers for desirable What is not associated with the testing regim NPV - Negative predictive value POC = Potir of care: two value POC = Potir of care: two value POC = Potir of care: two value PF = Fatse positive FF = Fat			et the desirable f	eatures of the testing str	ategy.	

Lee et al. Disruptive and sustaining innovation in telemedicine: a strategic roadmap

<mark>Пејм</mark> Catalyst

COMMENTARY

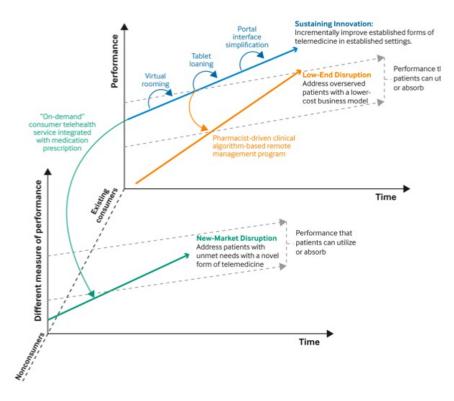
Disruptive and Sustaining Innovation in Telemedicine: A Strategic Roadmap

Simin Gharib Lee, MD, MBA, Alexander Blood, MD, William Gordon, MD, Benjamin Scirica, MD, MPH

DOI: 10.1056/CAT.21.0311

The Disruptive Innovation Model Applied to Telemedicine

In this framework, patients' performance demands (gray dotted lines) increase at a certain rate over time and contrast with telemedicine performance, which either improves along a sustaining innovation trajectory, incrementally enhancing offerings to serve patients with the most complex needs (blue line), or along disruptive innovation trajectories, serving patients with more basic (orar line) or altogether unmet needs (green line). Examples specific to telemedicine of sustaining improvements to mainstream models as well as disruptive departures from these models are deta



Telemedicine as a Sustaining Innovation

Bulten et al. Artificial intelligence for diagnosis and Gleason grading of prostate cancer; the PANDA challenge

Check for update

medicine

AKTICLES https://doi.org/10.1038/s41591-021-01620-2

OPEN

Artificial intelligence for diagnosis and Gleason grading of prostate cancer: the PANDA challenge

Wouter Bulten^{©1,60} [⊠], Kimmo Kartasalo^{©2,3,60} [⊠], Po-Hsuan Cameron Chen^{©4,60} [⊠], Peter Ström², Hans Pinckaers¹, Kunal Nagpal⁴, Yuannan Cai⁴, David F. Steiner^{©4}, Hester van Boven⁵, Robert Vink⁶, Christina Hulsbergen-van de Kaa⁶, Jeroen van der Laak^{©1,7}, Mahul B. Amin^{®8}, Andrew J. Evans⁹, Theodorus van der Kwast^{©10}, Robert Allan¹¹, Peter A. Humphrey¹², Henrik Grönberg^{©2,13}, Hemamali Samaratunga¹⁴, Brett Delahunt¹⁵, Toyonori Tsuzuki^{®16}, Tomi Häkkinen³, Lars Egevad¹⁷, Maggie Demkin¹⁸, Sohier Dane¹⁸, Fraser Tan⁴, Masi Valkonen¹⁹, Greg S. Corrado⁴, Lily Peng⁴, Craig H. Mermel^{®4}, Pekka Ruusuvuori^{3,19,61}, Geert Litjens^{®1,61}, Martin Eklund^{®2,61} and the PANDA challenge consortium^{*}

ARTICLES NATURE MEDICINI Participants **PANDA** challenge Competition & study setup 1.290 65 participant countries 17.000 data sources data sites 10.616 Biopsies for developme Development phase Validation phase Comparison with Tuning set

"On United States and European external validation sets, the algorithms achieved agreements of 0.862 (quadratically weighted κ, 95% confidence interval (CI), 0.840–0.884) and 0.868 (95% CI, 0.835–0.900) with expert uropathologists".



Patient Advocacy Update

One of our key deliverables in 2022 is to engage more patient advocacy groups

Sepsis Alliance

- Initial introduction meeting by M. Tarver FDA
- ► First meeting on 1/19
- Patient advocacy
- Aims to become a collaborative community







APPIA

APPIA Anatomical Pathology Patient Interest Association

THE APPIA MISSION

Cooperative industry partners dedicated to advancing anatomic pathology to benefit patient care by advocating and fostering quality, education, and best practices.

Home About APPIA Membership E Education E News & Initiatives Contact

Anatomical Pathology Patient Interest Association

What is APPIA?



APPIA is the leading anatomic pathology

Membership



APPIA membership provides access to a

News & Initiatives



The Anatomical Pathology Patient Interest



Phone: 919.314.6561

APPIA



Association

THE APPIA MISSION Cooperative industry partners dedicated to advancing anatomic pathology to benefit patient care by advocating and practices.

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What is APPIA?



Membership New

APPIA is the leading anatomic pathology organization formed by industry partners who actively engage with individuals and organizations and across all phases of specimen acquisition, preparation and examination. We are a resource to those who contribute to quality patient outcomes. * Learn More

APPIA's Mission:

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APPIA membership provides access to a The A dedicated community, which together Assoc resour works to influence anatomical pathology with the primary aim to provide highto anat quality patient care in pathology. The our we recent organization's membership is represented Additio by leading organizations and individuals leaders across the globe. Plan to join this dynamic media community of pathologists, scientists, other s technologists and industry representatives dedicated to advancing the industry. * Lear * Learn More



Phone: 919.314.6561

Preanalytics Getting the tissue from body to test



PREANALYTICS

3 Decoder 9, 2020 | 12 2020 APPVA | Not for distribution 00:33



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01:45 🜒 🏹



APPIA

Preanalytics



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organization formed b who actively engage v organizations and acro specimen acquisition. examination. We are a who contribute to qua outcomes. * Learn More

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APPIA's Mission

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diagnostic quality.

Fixation on Histology Blog My Dashboard Join Us Marketplace Q

HOME ABOUT MEMBERSHIP MY CAREER EVENTS LEARN THE BLOCK

Outcome

Register

Start Engaging

Resources

Tissue Collection Fixation S BUFFERE



October 9, 2020 | @ 2020 APPIA | Not for distribution

Getting the tissue from body to **APPIA Tops Program: Optimize Patient**

Outco	mes by	/ Improving	g PreAnalytics		Already registered? Log in now	«. elearn.nsh Home
Recorded	d On: 06/23/2	021				CEU Catalog
Overview	Speaker(s)	Contents (4)				Free Member Res
						Support
introdu	ction to the Tiss	sue Optimization and Pre	personnel including Histotechnologists and Me -analytic Standardization (TOPS) program deve y Robert Lott, HTL(ASCP), who is a frequent spe	eloped by the Ana	atomical Pathology	
Program	n, the video rev	iews what the histology a	gy meetings and one of the collaborative autho and pathology laboratory can do to optimize tis " of the tissue specimen from the patient to dia	ssue preservation	n and quality in	

elearn.nsh.org

CEUs: This histology course is worth 1 continuing education credit. Course is available for 365 days from date of purchase.

types of tests performed on a specimen. More importantly, the video provides an understanding of the impact of pre-analytic factors and variables and describes best practices and tools that laboratories can implement to optimize tissue preservation



ISPOR

Title:

ADVANCING EXCHANGE OF DIGITAL HEALTH INFORMATION BY EMBRACING A NATIONAL TOKENIZATION MODEL FOR UNIQUE PATIENT IDENTIFICATION

Moderator:

Joe Lennerz MD PhD, Massachusetts General Hospital/Harvard Medical School, Boston, MA, USA)

Panelists (must have 2-3 panelists from different organizations; please include name, degree(s), institution, city, state, country)

- S. Joseph Sirintrapun, M.D., Memorial Sloan Kettering Cancer Center, New York City, New York, USA
- Victor Brodsky, M.D., Washington University, St. Louis, Missouri, USA
- Bob Titus, CTO, Netcracker Technology Corp., Toronto, Ontario, Canada
- Jeff Allen, Ph.D., CEO, Friends of Cancer Research, Washington, D.C., USA
- Waiting on notification for submission of abstract
- ▶ We provide an overview of some of the questions around this topic



TIGER Grand Challenge

► TILS Grand Challenges



i Info	🗣 Forum	Teaderboards
Home		Welcome to TIGER
Contact		
Videos		TIGER is the first challenge on fully automated assessment of tumor-infiltrating lymphocytes (TILs) in H&E breast cancer
🔒 Data		slides. It is organized by the Diagnostic Image Analysis Group (DIAG) of the Radboud University Medical Center
Code		(Radboudumc) in Nijmegen (The Netherlands), in close collaboration with the International Immuno-Oncology Biomarker working Group (www.tilsinbreastcancer.org).
Rules		
Evaluation		The goal of this challenge is to evaluate new computer algorithms for the automated assessment of tumor-infiltrating lymphocytes (TILs) in Her2 positive and Triple Negative breast cancer (BC) histopathology slides. In recent years, several
Timeline		studies have shown the predictive and prognostic value of visually scored TILs in BC as well as in other cancer types,
Prizes		making TILs a powerful biomarker that can potentially be used in the clinic. With TIGER, we aim at developing computer algorithms that can automatically generate a "TIL score" with a high prognostic value.



ONC (Office National Coordinator for Health Information Technology)

Revision History



Project US@

Date	Version	Description	
6/16/2021		DRAFT initial release	
1/7/2022	1.0	FINAL release	

TECHNICAL SPECIFICATION FOR PATIENT ADDRESSES DOMESTIC AND MILITARY

FINAL VERSION 1.0

Current Version Date: 1/7/2022 Project US@ Technical Workgroup

HIT (ONC) Technical specifications

Example of complexity of standardization

Incorrect Form	Correct Form
BIG BUSINESS INCORPORATED 12 EAST BUSINESS LANE, SUITE-209 KRYTON,TN 38188-0002	BIG BUSINESS INC 12 E BUSINESS LN STE 209 KRYTON, TN 38188-0022
PIZZA DELIVERY COMPANY 61-20 EAST RIVER DRIVE NEW YORK, NY 10021-0905	PIZZA DELIVERY COMPANY 61-20 E RIVER DR NEW YORK NY 10021-0905



FDA



FDA Clinical Investigator Training Course

Real-World Evidence

8 December 2021

John Concato, MD, MS, MPH

Associate Director for Real-World Evidence Analytics Office of Medical Policy Center for Drug Evaluation and Research U.S. Food and Drug Administration

2022 Summer OSEL Regulatory Research Experience (SORRE) Announcement

The Office of Science and Engineering Laboratories (OSEL) at the FDA Center for Device and Radiological Health (CDRH) accelerates patient access to innovative, safe and effective medical devices through bestin-the-world regulatory science. We are composed of scientists and engineers who have a broad diversity of expertise from microbiology, chemistry, physics, data science to artificial intelligence and machine learning.

The SORRE Program is hosted by the OSEL Diversity, Equity, Inclusion, & Belonging Council (DEI&B) to increase underrepresented students to perform regulatory science research at the U.S. Food and Drug Administration (FDA).

The program has several paid and unpaid opportunities for students to engage in <u>OSEL's Regulatory</u> <u>Science Research Programs</u>, which consist of a variety of research projects primarily focused on laboratory research of medical devices.

The OSEL Regulatory Science Project Catalog below describes opportunities available for the 2022 Summer program. Please read each project description as you will need to select your top three.

Open Date: January 18th, 2022 Close Date: February 18th, 2022



Plcc Updates

- Progress by Truthing & Validation group
- Finalizing survey for TILS RS Project
- Updating website domain:
 Digitalpathologalliance.org → pathologyinnovationcc.org



MDIC



Emerging HealthTech

The MDIC Series | Clinical Diagnostics



MDICx: AI/ML Framework Public Comment Q&A

Tuesday, February 1, 2022 | 12:00PM – 1:00PM ET



Upcoming Events

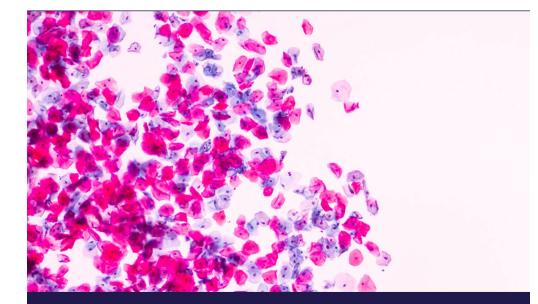
Supporting Development of Diagnostic Tests for Unmet Needs

Friends of Cancer Research Virtual Meeting Supporting Development of Diagnostic Tests for Unmet Needs Tuesday, February 22, 2022 12:00PM EST - 1:00PM EST **Friends of Cancer Research (***Friends***)** is proud to announce a new virtual meeting, **Supporting Development of Diagnostic Tests for Unmet Needs**.

During the past several months, experts from across health sectors have convened to design new approaches for drug/diagnostic co-development in the field of oncology and rare diseases. This forum will feature a new report describing recommended strategies and policy considerations to optimize diagnostic test development for rare populations into the future.



Upcoming Events



PMLS Virtual Series 2022 – Precision Pathology

To register Click Here February 22, 2022 PRECISION MEDICINE LEADERS SUMMIT PMLS SERIES 2022 REGISTER ADVISORY BOARD ON DEMAND PAST SPEAKERS SPONSORS GALLERY V V



Precision Pathology February 22, 2022 – Virtual



Precision Medicine Outside of Oncology March 29, 2022 – Virtual

Precision Oncology April 26, 2022 – Virtual



MultiOmics in Precision Medicine June 22-23, 2022 – Boston, MA



Updates in Precision Medicine: Pharmacogenomics and Pharmacovigilance September 28-29, 2022 -



Precision Oncology & Diagnostics October 2022 – Chicago, IL



Al, Machine Learning and Data Science October, 2022 – Los Angeles, CA



Next month's Steering Committee

Wednesday
February 23, 2022
at 3:00-4:00PM ET

